



**A comparative approach to dogs' &i&t;(Canis familiaris)&/i&t; and human infants' comprehension of various forms of pointing gestures**

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1 **A comparative approach to dogs' (*Canis familiaris*) and human infants' comprehension**  
2 **of various forms of pointing gestures**

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24 **Abstract**

25

26 We investigated whether dogs and 2-, and 3-year-old human infants living, in some  
27 respects, in very similar social environments are able to comprehend various forms of the  
28 human pointing gesture. In the first study we looked at their ability to comprehend different  
29 arm pointing gestures (long cross-pointing, forward cross-pointing and elbow cross-pointing)  
30 to locate a hidden object. Three-year-olds successfully used all gestures as directional cues,  
31 while younger children and dogs could not understand the elbow cross-pointing. Dogs were  
32 also unsuccessful with the forward cross-pointing.

33 In the second study we used unfamiliar pointing gestures i.e. using a leg as indicator  
34 (pointing with leg, leg cross-pointing, pointing with knee). All subjects were successful with  
35 leg pointing gestures, but only older children were able to comprehend the pointing with knee.

36 We suggest that 3-year-old children are able to rely on the direction of the index finger,  
37 and show the strongest ability to generalize to unfamiliar gestures. While some capacity to  
38 generalize is also evident in younger children and dogs, especially the latter appear biased in  
39 the use of protruding body parts as directional signals.

40 **Keywords:** dogs, children, communication, human gestures, pointing.

## 41 **Introduction**

42

43 Dogs' comprehension of human gestural communication including pointing, head  
44 turning and gazing has received increased interest in recent years (for a review see Miklósi  
45 and Soproni, 2006). Most studies focused on the dog's ability to comprehend human pointing  
46 gestures because it was assumed that in the course of domestication dogs might have gained  
47 some advantage in reading human communicative signals (e.g. Hare et al. 2002). Recent  
48 investigations have revealed that dogs are able to find hidden food on the basis of different  
49 human gestural cues (Miklósi et al. 1998; Hare and Tomasello, 1999; McKinley and  
50 Sambrook, 2000) in the so called two-choice task (see Anderson et al 1995, and Methods). In  
51 a series of such experiments Soproni et al. (2002) varied the form of the pointing gesture in  
52 order to determine the critical visual features of this signal. The results of this study suggest  
53 that dogs are sensitive to the relation between the hand/arm and the torso, that is, they infer  
54 the directionality of the gesture by observing the direction in which part of the arm/hand  
55 protrudes from the upper body.

56 Interestingly, in contrast to the many investigations of pointing in dogs there are only a  
57 few experimental studies on pointing and gazing comprehension in human children. Most of  
58 our knowledge is based on longitudinal investigations (Morisette et al. 1995) revealing that  
59 human infants are able to look in the general direction indicated by the mothers' gaze at 12  
60 months of age, and they are able to look at the indicated target at 15 months of age if the  
61 targets are close and at 18 months of age if the targets are distant (see Morisette et al. 1995).  
62 But when gazing is accompanied by a pointing gesture children look at the indicated distant  
63 target at 15 months of age. According to Butterworth and Grover (1998) the comprehension of  
64 manual pointing develops by 12 months of age, and the exhibition of pointing for others is  
65 observed in most children at about 14 months of age (Leung and Rheingold, 1981). More

66 recently Behne et al. (2005) found that 14 months old children are able to choose an object in  
67 an object-choice task on the basis of two different types of cues when the cues are given in a  
68 communicative way but they show very poor performance if the same cues are given in a non-  
69 communicative way.

70 The social environment of the human infants is often shared by pet dogs in the family.  
71 Although for many researchers this does not come as a surprise, others debate that there is a  
72 similarity between the social stimulation received by human infants and pet dogs. In any case  
73 recent experimental research has revealed some striking similarities. At the level of  
74 behavioural interaction both children and pet dogs develop an attachment relationship toward  
75 adult humans (e.g. Topál et al. 1998; Prato-Previde et al. 2006), and there are also functional  
76 similarities in their communicative behaviour especially between dogs and pre-verbal infants  
77 (Miklósi et al. 2000; 2005). Moreover pet-directed speech shares similarities with ‘motherese’  
78 used to talk to infants (‘doggerel’ see Hirsch-Pasek and Treiman, 1981; Mitchell, 2001), and  
79 owners have complex beliefs as regards the verbal comprehension of their pets (Pongrácz et  
80 al. 2001).

81 Albert and Bulcroft (1987, 1988) found that pets are viewed as important family  
82 members by people who live in the city. At the psychological level dogs are often described as  
83 family members (e.g. Cain 1985), and humans perceive thinking and feeling processes in dogs  
84 to be very similar to that of preschool children where the difference lies not so much in quality  
85 but rather in quantity (Rasmussen and Rajecki, 1995). Actually, many studies on the dog-  
86 human interactions describe this relationship as an inter-specific parental contact, and there is  
87 now both behavioural and psychological evidence that the behaviour of adults toward dogs  
88 and infants shares many similarities (Hirsch-Pasek and Treiman, 1981; Mitchell, 2001; Prato-  
89 Previde et al., 2006). This gives support for the view that dogs and children have the chance to  
90 experience a similar social environment during their early socialisation in human families.

91 Earlier studies have shown that the comprehension of simple pointing gestures emerges  
92 already at the age of 2-4 month in dogs (Hare et al. 2002) and shows little variation over the  
93 first year of life (Gácsi et al. 2009a). There are no similar longitudinal observations in infants  
94 but studies indicate that by their first year children also comprehend pointing gestures in a  
95 communicative situations, and after this period they show a rapid development in their  
96 comprehension of human communicative gestures, which is probably facilitated by the  
97 production of similar pointing gestures in the framework of communicative behaviour  
98 including language learning (e.g. Tomasello and Camaioni, 1997). Thus the first goal of the  
99 present study was to find a period of human development in which children and dogs display  
100 similar levels of performance. Given the stable performance of dogs beyond their first year we  
101 compared adult dogs to 2 and 3 years old infants.

102 The second aim of this investigation was to gather comparative evidence on the ability  
103 to generalize to unfamiliar gestures in both species. It has been suggested that the use of  
104 unfamiliar signals could provide some evidence for referential understanding (Povinelli et al.  
105 1997). Therefore we investigated whether dogs and human infants are able to generalize from  
106 familiar pointing gestures to unfamiliar ones, and whether they comprehend these unfamiliar  
107 gestures as being directional. In this regard two specific questions were investigated. First, we  
108 wanted to know whether children and dogs are able to generalize to a topographically similar  
109 gesture that is executed with a different body part (pointing with the leg) based on the  
110 assumption that subjects have been rarely (if at all) exposed to such body movements in a  
111 communicative context. Second, we asked whether dogs and children at a certain age gain the  
112 capacity to recognize the significance of the pointing finger in the communicative context.

113

#### 114 **General methods**

115

## 116 **Subjects**

117

118 As testing place, we chose the most natural environment for each group so as to keep stress  
119 levels at a minimum. Therefore in the case of dogs and 2-year-old children we conducted the  
120 experiments in the home of the subjects in the presence of the owner/parent. In the case of 3-  
121 year-old children we conducted the experiments in two nursery schools in Budapest and in  
122 one kindergarten in Budaörs. In all cases we obtained the parents' permission. In addition we  
123 carried out a pilot study with five 3-year-old children tested in their homes instead of the  
124 nursery to evaluate the potential effects of setting, however no difference emerged between  
125 children tested in the two settings.

126 *Dogs* (N=15): All individuals participated in both studies (pointing with arms and  
127 pointing with legs). Eleven males, four females; the mean age of the dogs was 4.9 years, SD  
128 was 2.4 years and the range was 1 - 12 years. Eight individuals were naive, 7 individuals had  
129 participated in other studies recently, in which they had been exposed to some simpler kind of  
130 gestures (dynamic-sustained proximal and distal pointing, and momentary proximal and distal  
131 pointing gestures; Miklósi et al., 2005). The age of the owners was  $24.75 + 5.2$  years (mean +  
132 SD); range was 18 – 38; two men and twelve women. Previous study found that the age and  
133 other specific experiences (agility training) of the dogs do not have an effect on their ability to  
134 utilize the momentary pointing gesture (Gácsi et al. 2009a).

135 *2-year-old children* (N=13): Twelve individuals' behaviour was measured in both  
136 studies. Eleven individuals out of the thirteen children participated in both studies and 2  
137 individuals participated only in one of the studies. Seven girls and five boys participated in  
138 both tests. Ten children were younger than 2-year-old and three children were older than 2-  
139 year-old in this group. The mean of the children's age was 21.1 months, SD was 3.7 months  
140 and the range was 16.5 - 27.5 months in the case of Study 1. The mean of the children's age

141 was 21.1 months, SD was 3.8 months and the range was 16.5 - 27.5 months in the case of  
142 Study 2.

143 *3-year-old children* (N=11): All individuals, eight girls and three boys, participated in  
144 both studies. Ten children were older than 3-year-old and one child was younger than 3-year-  
145 old in this group. The mean of the children's age was 38.7 months, SD was 2.4 months and  
146 the range was 34 - 41 months.

147

#### 148 **Pretraining: Familiarization with the situation**

149

150 In the present studies we used the same method described in earlier studies (e.g. Soproni  
151 et al. 2001, 2002; Miklósi et al. 2005). The experimenter placed two bowls (brown plastic  
152 flower pots: 13 cm in diameter, 13 cm in height) 1.3 - 1.6 metres apart, in front of her, on the  
153 floor in the case of dogs, and on two chairs in the case of children. The experimenter, in the  
154 presence of the subject, placed a reward in one of the bowls: food for the dogs and a favourite  
155 toy for the children. The subjects could witness this hiding from a distance of 2 - 2.5 m with  
156 their owner/parent standing behind them. After having the experimenter put the food/toy into  
157 the bowl, the owner/parent allowed the subject to take the reward out from the bowl. One trial  
158 lasted 30 seconds, and the procedure was repeated twice for each bowl to ensure that the  
159 subject knew that the bowls might contain some reward.

160

#### 161 **Testing**

162 The position of the participants was the same as above, but during the testing the subject  
163 was prevented from observing the hiding. The experimenter picked up the bowls, put the  
164 reward (a piece of food in the case of dogs and a favourite toy in the case of children) into one  
165 of the bowls and after that she placed both bowls back onto the floor/chairs at the same time.



166 During the pointing the experimenter was standing 0.5 m back from the middle line between  
167 the two bowls, facing the subject at a distance of 2-2.5 m. The owner/parent was holding back  
168 the subject gently until the experimenter gave the cue. The experimenter drew the subject's  
169 attention to herself (any sounds, like clapping or/and the subject's name could be used) and  
170 presented the visual cue when the subject looked in the direction of her face. During pointing  
171 the experimenter was looking at the subject. If the subject did not set out at the first cue, the  
172 experimenter repeated the pointing gesture again for a maximum of three times. The subject  
173 was allowed to choose only one bowl, if it chose the incorrect one the experimenter picked up  
174 the baited bowl from the floor while the subject approached the unbaited one.

175 We used four types of pointing gestures in both tests (Study 1 and 2) ("Probe trial  
176 method", in which novel test treatments were presented by embedding them into a background  
177 of a very familiar gesture, the distal pointing; see below and see also Povinelli et al. 1999;  
178 Soproni et al. 2002; Miklósi et al. 2005). Apart from the pointing gesture with an extended  
179 arm and index finger ("control trials": distal pointing), we used three other kinds of pointing  
180 gestures (probe trials), which differed from each other in certain important features of the  
181 gesture (see below). Each test session consisted of 12 trials in the case of dogs, which  
182 contained 4 trials of momentary distal pointing gesture and 8 probe trials, with all three  
183 unfamiliar gestures included (3 trials of two types of the probe trials and 2 trials of the third  
184 type). Unfamiliar gestures were also displayed in a momentary manner. The presentation of  
185 the cues was in a predetermined semi-random order and was balanced for right and left side.  
186 Neither the same gesture, nor the same place for the reward was used more than two times in a  
187 row. With each dog we staged three test sessions. Overall the dogs received 8 trials for each  
188 type of the unfamiliar pointing gestures in both studies. A minimum of one day and a  
189 maximum of two weeks passed between the two test sessions in both studies.

190 In the case of children pilot studies have shown that they are not able to concentrate for  
191 too many trials and we also needed to take into consideration that at this age their capacities  
192 develop rapidly. Thus we decided to have fewer sessions with the children and thereby they  
193 received fewer trials overall than dogs. With each child we had one test session consisting of  
194 18 trials, which contained 6 trials of pointing gesture and 4-4-4 trials of each probe trial in  
195 both studies (See also Table 1).

196 All subjects participated in Study1 for the first time, and after it was completed they  
197 participated in Study2. There were a maximum of two weeks between the two studies.

198 All experimental trials were recorded on video. Trials were scored in real time by the  
199 experimenter.

200

### 201 **Study 1: Pointing with arms**

202

203 In this study we wanted to test the effect of a protruding body part and the index finger  
204 on the comprehension of the pointing gesture. Therefore we systematically varied the pointing  
205 gesture by comparing gestures that either presented a protruding upper arm and elbow (elbow  
206 cross pointing), a pointing gesture when no parts of the hand appeared outside the body  
207 outline (cross-forward pointing), and a pointing gesture when the hand (including the  
208 extended index finger) protruded on one side of the body (long cross-pointing) (see Fig. 1  
209 from left to right). If the subjects are interested only in the protruding body part (as was  
210 hypothesized earlier see Soproni et al. 2002) then they should choose the "indicated" bowl  
211 both in the elbow cross pointing and in the long cross-pointing condition (note that in the case  
212 of the former this results in incorrect choice). In parallel subjects should not be able to choose  
213 correctly in the cross-forward pointing condition. If however subjects realize the significance

214 of the index finger as an "invariant" feature of the pointing gesture then they should be correct  
215 in all conditions.

216 It should be noted that in a former experiment with a smaller sample we found that  
217 dogs showed no preference in the elbow cross pointing condition but were able to find the  
218 hidden food based on the long cross-pointing gesture (Soproni et al. 2002). In this regard this  
219 study is a replication of those observations (including an additional gesture) on a new, larger  
220 sample of animals. Povinelli et al. (1997) used the dynamic-sustained version of our  
221 momentary elbow cross pointing gesture (for the importance of temporal structure of the  
222 gesture see Methods) with chimpanzees and children. They found that in the case of the elbow  
223 cross pointing (the only condition comparable to the gestures used in this study) the apes  
224 showed a strong preference for the unbaited container "indicated" by the "elbow", whilst  
225 children older than 2 years were looking in the bowl indicated by the index finger.

226

## 227 **Method**

228

229 Types of momentary pointing gestures used (see also Miklósi and Soproni, 2006):

230 1. *Distal pointing*: the experimenter pointed with extended arm and index finger in the  
231 direction of the correct location using her closer hand. After signalling (it lasted about 1  
232 second), she lowered her arm to the starting position beside her body before the subject was  
233 allowed to approach the bowls (Figure 1.a).

234 2. *Elbow cross-pointing*: the experimenter pointed with her contralateral hand, with bent arm,  
235 so her elbow stuck out to the side of the empty bowl and her extended index finger did not  
236 extend beyond the mid-line of her body. After signalling (1 sec), she lowered her arm to the  
237 starting position beside her body before the subject was allowed to approach the bowls (Figure  
238 1.b).

239 3. *Forward cross-pointing*: the experimenter stepped back about 0.5 metres from the bowls  
240 and she pointed with her contralateral hand, but her extended index finger did not protrude  
241 from her silhouette. After signalling (1 sec), she lowered her arm to the starting position  
242 beside her body before the subject was allowed to approach the bowls (Figure 1.c).

243 4. *Long cross-pointing*: the experimenter pointed with her contralateral hand, with an  
244 extended arm, and her extended index finger protruded from her silhouette to the side of the  
245 rewarded bowl. After signalling (1 sec), she lowered her arm to the starting position beside  
246 her body before the subject was allowed to approach the bowls (Figure 1.d).

247

#### 248 **Statistical analysis**

249

250 The statistical analysis was based on the number of the correct choices (in percent) and  
251 non-parametric procedures (Kruskal-Wallis test, One-sample Wilcoxon signed-rank test) were  
252 used.

253

#### 254 **Results and discussion**

255

256 Between-group comparisons: We compared the performance of the three experimental  
257 groups by Kruskal-Wallis test (with Dunn's post hoc tests,  $p < 0.05$ ) separately for each  
258 gesture (Figure 2, Table 2). In the case of the “Distal pointing” we found that 3-year-old  
259 children perform significantly better than dogs. In the case of “Long cross-pointing” and the  
260 “Forward cross-pointing” we revealed that the both groups of children performed significantly  
261 better than the dogs. In the case of the “Elbow cross-pointing” we found that 3-year-old  
262 children displayed significantly better performance in choosing the correct bowl in  
263 comparison to the younger children and dogs.

264 We compared the performance (mean percentage) of the experimental groups to the  
265 expected chance level using One-sample Wilcoxon signed-rank tests (Table 3). Given the  
266 ceiling level of performance for 3-year-old children (which obviously differs significantly  
267 from chance level), we compared the performances to the chance level only in those cases  
268 when the mean percentages differed significantly from the data for 3-year-old children  
269 according to the Kruskal-Wallis test (see above). 2-year-old children's performance differed  
270 significantly from the 3-year-old children's performance only in the case of the "Elbow cross-  
271 pointing". According to the One-sample Wilcoxon signed-rank test their performance was at  
272 chance level in this case. In the dogs' case the Kruskal-Wallis test showed that the 3-year-old  
273 children performed better in all types of pointing gesture. If we look at the results of the One-  
274 sample Wilcoxon signed-rank tests we find that the dogs' performance is significantly above  
275 chance only in the case of the "Distal pointing" and the "Long cross-pointing", their  
276 performance does not differ from chance for the "Forward cross-pointing". In the case of the  
277 "Elbow cross-pointing" they prefer the side of the elbow (they choose the empty bowl), thus  
278 performing significantly below chance level (Figure 3).

279 There was no sign of learning during testing for any of the gestures in any of the  
280 experimental groups. The comparison of the performance in the first and the second half of  
281 gestures for each type revealed no significant changes (Wilcoxon matched pairs test; 3-year-  
282 old children: we could not make the statistical analysis in case of "Distal pointing" and "Long  
283 cross-pointing", because there were  $SD=0$ , and in case of the "Forward cross-pointing",  
284 because there was no any difference between the results of the two halves; "Elbow cross-  
285 pointing" ( $T(+)=3.0$ ;  $p=0.5$ ). 2-year-old children: "Distal pointing" ( $T(+)=4.0$ ;  $p=0.75$ );  
286 "Elbow cross-pointing" ( $T(-)=-12.0$ ;  $p=0.31$ ); "Forward cross-pointing" ( $T(+)=9.0$ ;  $p=0.81$ );  
287 "Long cross-pointing" ( $T(-)=-4.0$ ;  $p=0.75$ ). Dogs: "Distal pointing" ( $T(-)=-53.5$ ;  $p=0.59$ );  
288 "Elbow cross-pointing" ( $T(-)=-43.5$ ;  $p=0.73$ ); "Forward cross-pointing" ( $T(+)=22.5$ ;  $p=0.55$ );

289 “Long cross-pointing” ( $T(+)=24.0$ ;  $p=0.46$ ).

290 The varied age of the group of 2-year-old children allowed for a correlative analysis.  
291 We found that there was no correlation between age and performance with the “Distal  
292 pointing” and the “Forward cross-pointing” (Spearman  $\rho = 0.10$ ,  $p = 0.76$  and  $\rho = 0.15$ ,  $p$   
293  $= 0.65$ ) but older children were better in the case of the “Long cross pointing” and the “Elbow  
294 cross-pointing” (Spearman  $\rho = 0.64$ ,  $p < 0.02$  and  $\rho = 0.62$ ;  $p < 0.03$ , respectively).

295 Supporting our hypothesis, the present study shows that dogs can choose on the basis  
296 of the “Distal pointing” and “Long cross-pointing”. In addition, and contrary to earlier  
297 findings (Soproni et al. 2002) dogs also preferred the incorrect container "indicated" by the  
298 elbow of the experimenter. Whilst this tendency gives further support to our theory at the  
299 moment we have no explanation for this difference. Taken together, these findings suggest  
300 that dogs choose on the basis of a body part that protrudes from the signalling person's  
301 silhouette, and it seems that the directionality of the index finger plays a small role in  
302 influencing the choice.

303 Three-year-old infants' performance was significantly better in the case of the “Elbow  
304 cross-pointing” than 2-year-old infants' performance, and this was the only gesture in which  
305 younger children did not choose above chance level. The effect of age (and/or experience) was  
306 also supported by the correlation analysis. In the group of younger children we saw that they  
307 performed at chance level (at the group level), but older children in this group (older than 2  
308 years) seemed to take into account the direction of the index finger.

309

## 310 **Study 2: Pointing with legs**

311

312 In this study we used three different kinds of pointing gestures using the leg as the  
313 signalling body part. We relied on the apparent visual similarity of the pointing arm and leg,

314 and wanted to find out whether subjects could generalize from the hand to the leg pointing  
315 gestures. We hypothesized that if the subjects use the visual image of a protruding body part  
316 as a directional signal then they should be able to perform well in the case of leg pointing.

317

## 318 **Method**

319

320 The method used was similar to that described above. The following momentary  
321 pointing gestures were utilized:

322 1. *Distal pointing*: the experimenter pointed with extended arm and index finger in the  
323 direction of the correct location using her closer hand. After signalling (1 sec), she lowered  
324 her arm to the starting position before the subject was allowed to approach the bowls (Figure  
325 1.a.).

326 2. *Pointing with leg*: the experimenter pointed with an extended leg in the direction of the  
327 correct location using her closer leg. After signalling (1 sec), she lowered her leg to the  
328 starting position before the subject was allowed to approach the bowls (Figure 4.a.). The  
329 distance between the pointing leg and the baited bowl was about 15 centimetres.

330 3. *Cross-pointing with leg*: the experimenter pointed with an extended leg in the direction of  
331 the correct location using her contralateral leg. After signalling (1 sec), she lowered her leg to  
332 the starting position before the subject was allowed to approach the bowls (Figure 4.b.). The  
333 distance between the pointing leg and the baited bowl was about 30 centimetres.

334 4. *Pointing with knee*: the experimenter pointed with a bent leg, so her closer knee was  
335 signalling the baited bowl. After signalling (1 sec), she lowered her leg to the starting position  
336 before the subject was allowed to approach the bowls (Figure 4.c.). The distance between the  
337 experimenter's knee and the baited bowl was about 60 centimetres.

338

## 339 **Results and discussion**

340

341           Between-group comparisons: We compared the performance of the three experimental  
342 groups with Kruskal-Wallis test (with Dunn's post hoc tests,  $p < 0.05$ ) in the case of each  
343 gesture separately (Figure 5, Table 2). In the case of the “Distal pointing” 3-year-old  
344 children’s performance was better than the other two experimental groups’. In the case of the  
345 “Pointing with leg” 3-year-old children performed significantly better than both the 2-year-old  
346 children and dogs. And in the case of the “Cross-pointing with leg” and the “Pointing with  
347 knee” we also found that 3-year-old children displayed a significantly better performance than  
348 the subjects in the two other experimental groups. In contrast to the previous study we found  
349 no correlation between age and performance in 2-year-olds.

350           We compared the performance (mean of percentage) of the experimental groups to the  
351 chance level by One-sample Wilcoxon signed-rank tests (Table 3). Given the ceiling level of  
352 performance for 3-year-old children, we compared the performances to chance level only in  
353 those cases when the mean percentages differed significantly from the data for 3-year-old  
354 children according to the Kruskal-Wallis test (see above) as we did in the Study 1. According  
355 to the Kruskal-Wallis test the 3-year-old children’s performance was better than both the 2-  
356 year-old children’s and dogs’ for each kind of pointing gesture. If we look at the results of the  
357 One-sample Wilcoxon signed-rank test we find that 2-year-old children perform significantly  
358 better, than chance in the case of the “Distal pointing”, “Pointing with leg” and “Cross-  
359 pointing with leg”, but their performance is at chance level in the case of the “Pointing with  
360 knee”. Dogs’ performance differs significantly from the chance level only in the case of the  
361 “Distal pointing”, the “Pointing with leg” and the “Cross-pointing with leg”, their  
362 performance does not differ from the chance level in the case of the “Pointing with knee”.



363           There was no sign of learning during testing for any of the gestures in any of the  
364 experimental groups. The comparison of performance in the first and the second half of  
365 gestures for each type revealed no significant changes (Wilcoxon matched pairs test; 3-year-  
366 old children: we could not make the statistical analysis in case of “Distal pointing”, “Pointing  
367 with leg”, and in case of “Cross-pointing with leg” because there were  $SD=0$ ; “Pointing with  
368 knee” ( $T(+)=2$ ;  $p>0.99$ ). 2-year-old children: “Distal pointing” ( $T(-)=-5.0$ ;  $p=0.5$ ); “Pointing  
369 with leg” ( $T(+)=7.0$ ;  $p=0.62$ ); “Cross-pointing with leg” ( $T(+)=10.5$ ;  $p>0.99$ ); “Pointing with  
370 knee” ( $T(+)=32.5$ ;  $p=0.25$ ). Dogs: “Distal pointing” ( $T(+)=18.0$ ;  $p=0.58$ ); “Pointing with leg”  
371 ( $T(+)=43.0$ ;  $p=0.41$ ); “Cross-pointing with leg” ( $T(-)=-28.5$ ;  $p=0.92$ ); “Pointing with knee”  
372 ( $T(-)=33.0$ ;  $p>0.99$ ).

373           In summary the above results show that the 3-year-old infants’ performance was  
374 significantly higher in the case of all unfamiliar gestures signaled by the leg (“Pointing with  
375 leg”, “Cross-pointing with leg” and “Pointing with knee”) than the 2-year-old infants’  
376 performance. Further it seemed that there was little difference in the performance of 2-year-  
377 old children and dogs, including the fact that neither group performed above chance level in  
378 the “knee-pointing”. This suggests that to some extent subjects were able to generalize from  
379 their previous experience to a relatively novel directional gesture, and this generalization was  
380 based possibly on the similarity of the visual image, that is, the leg as well the hand provides a  
381 contrasting directional signal in which the limb protrudes from the body contour.

382

### 383 **General discussion**

384

385           We studied the behaviour of adult dogs and children of different ages in an object-  
386 choice task, in which we investigated whether they are able to use various gestural signals to  
387 locate a hidden object. The first study supported the idea that the basis for the utilization of

388 the more and less familiar gestures was to rely on the protruding arm and/or hand. However,  
389 in older children this overall tendency was overridden by the understanding that the key feature  
390 of the pointing gesture is not the directionality of the hand or arm *per se* but the direction of  
391 the pointing index finger. This ability seems to emerge at around 2 years of age because all  
392 children in the 3-year-old group and the oldest ones in the 2-year-old group seemed to base  
393 their choice on the direction indicated by the index finger. This was revealed in the case of the  
394 "Elbow cross-pointing" condition, in which dogs preferred the (incorrect) bowl, which was  
395 located on the side of the protruding elbow, 2 years olds showed no preference whilst older  
396 children based their choice on the direction indicated by the pointing finger in front of the  
397 chest. Interestingly, in similar conditions chimpanzees also tended to prefer the bowl closer to  
398 the elbow in the case of a dynamic pointing gesture (Povinelli et al. 1997) just like dogs,  
399 however, note that the same chimpanzees were less successful in many other forms of the  
400 pointing gesture compared to dogs (see Miklósi and Soproni, 2006). This suggests that  
401 younger children and dogs do not grasp the meaning of the pointing index finger in this  
402 situation, instead, they rely on a common simple rule: follow the direction indicated by the  
403 protruding body part.

404 Both children and dogs showed some evidence of generalization in the presence of  
405 unfamiliar gestures i.e. when the pointing was done with the leg, and it is likely that they  
406 relied on the same rule referred to above. Interestingly, neither dogs nor 2-years olds were able  
407 to find the object when it was indicated by the "pointing" knee. Although the "pointing" knee  
408 gesture also protrudes from the body contour, its visual image is distinctly different from the  
409 "stick-like" image of a pointing limb. These results suggest that the ability to generalize is  
410 more limited in dogs and 2-year-old children than in 3-year-old children.

411 The results clearly indicate a ceiling effect in the case of 3-years-olds which suggests  
412 that by the age of 2-3 years marked changes have taken place in their capacity to utilize visual

413 communicative signals. We think that the synergic effect of at least three processes could lead  
414 to this development. First, they seem to grasp the meaning of a directional signal in general  
415 terms, that is, any signal (body movement) that is displayed on one side is regarded as an  
416 indication to the location of the reward (e.g. the knee of the experimenter). We were led to  
417 this conclusion by the result that 3-year-old children's performance was very high in the case  
418 of all gestures used and, in contrast to the 2-year-old children, they were able to choose also  
419 on the basis of the "pointing with knee" gesture.

420         Second, 3-year-old children's performance in the case of the "Elbow cross-pointing"  
421 suggests that they are able to understand the meaning of the index finger and use it as a  
422 guiding cue. This capacity could be the result of two different processes. Children acquire the  
423 use of this gesture by 14 months of age (Leung and Rheingold, 1981; Butterworth and Grover,  
424 1998), and by this time become proficient users of the pointing gesture (e.g. Dobrich and  
425 Scarborough, 1984). Thus they are in the position to realize the correspondence between their  
426 own pointing action and the pointing action of the experimenter (Butterworth and Grover,  
427 1998). In addition they have been exposed to pointing gestures during language learning when  
428 the index finger has a specific role (Leavens and Hopkins, 1999), (although we note that the  
429 use of the index finger for pointing does not appear to be universal, there are reports about  
430 cross-cultural differences in the semiotics of pointing (Wilkins, 2003)). It is likely that  
431 interactions during language learning facilitate the understanding in children that the index  
432 finger has the important role of indicating objects of interest even over long distances. This  
433 suggests a feedback of referential (linguistic) communication on the understanding of pointing  
434 with the index finger. Thus children younger than 2 years of age have either too little exposure  
435 to pointing gestures or they lack the necessary cognitive structures for being able to extract the  
436 significance of the index finger. The lack of linguistic training could also explain why dogs in  
437 our study could not overcome this hurdle.

438 Third, the present task has been often interpreted as requiring certain capacities of  
439 cooperation. Actually, Hare et al (2000) used this argument to explain the low performance in  
440 such object choice tasks in chimpanzees. There is evidence, in children, that the cooperative  
441 ability both towards adults and especially toward peers increases markedly between 2 and 3  
442 years of age (e.g. Brownell et al. 2006). Thus older children might be more at ease in  
443 cooperating with an unfamiliar adult in the present task, and thus are more attentive to minor  
444 behavioural signals that indicate intentional action on the part of the other (Tomasello et al.  
445 1997). This latter ability could be enhanced by the development of complex representations of  
446 shared intention (see also Tomasello et al. 2004). Behne et al. (2005) also argued that children  
447 as young as 14 months of age can comprehend also the communicative intentions behind  
448 gestures, like gazing or pointing.

449 It is also important to note that although there is a quantitative difference between the  
450 performance of the dogs and the 3-years-olds in the comprehension of the pointing gesture, at  
451 the functional level dogs' performance is comparable to that of 2-year-old children. Given that  
452 dogs are not required to acquire complex linguistic forms of communication, their level of  
453 comprehension seems to be proportional to the challenges set by their natural human social  
454 environment. It remains to be shown whether, after further training, dogs may learn the  
455 significance of the directionality of the index finger including the skill to generalize to novel  
456 forms of the index gesture. On the positive side dogs have been able to learn the significance  
457 of minute directional eye movements in an object choice task (Miklósi et al. 1998).

458 From a broader, comparative perspective, various studies have pointed out the  
459 limitation of apes in comprehending some forms of the pointing gesture and other unfamiliar  
460 forms of pointing signals. The majority of apes tested do not perform spontaneously above  
461 chance level in object-choice tasks (Povinelli et al. 1997; Tomasello et al. 1997; Call et al.  
462 1998; Itakura et al. 1999; Call et al. 2000; Hare et al. 2002; Bräuer et al. 2006). Although,

463 intensive socialisation (enculturation) enhances performance in object-choice tasks when  
464 humans provide them with communicative cues such as pointing (Tomasello et al. 1997;  
465 Itakura and Tanaka, 1998), even in this case individuals did not seem to comprehend that the  
466 experimenter was attempting to communicate with them (Tomasello et al. 1997; Call et al.  
467 2000). Results of the present study suggest that dogs are relatively flexible in their  
468 comprehension (see also Hare et al. 1999, 2002; Soproni et al. 2002; Miklósi et al. 2005),  
469 especially if we take into account that in our studies dogs are exposed to more difficult  
470 gestures. Although some studies suggest that the ability to rely on complex human pointing  
471 gestures might be present in some other species (seals: Shapiro et al. 2004; Scheumann and  
472 Call, 2004; dolphins: Tschudin et al. 2001; Herman et al. 1999), the results do not offer an  
473 easy interpretation. However, controlled experiments involving dogs and wolves socialized  
474 with humans at the same level clearly pointed at species differences. Two studies have  
475 provided evidence that in young dogs show better performance in such object choice tasks  
476 (Miklósi et al. 2003, Virányi et al. 2008) in comparison to wolves, however this difference  
477 seems to disappear in adults if subjects of the later species are socialized intensively (Gácsi et  
478 al 2009b). This suggests that at least within the Canidae domesticated dogs represent a unique  
479 species which, through their evolutionary association with humans, gained some advantages  
480 in their sensitivity to human communicative signals (Frank et al. 1980; Miklósi et al. 2004).  
481 Miklósi et al. (2003) suggested that the difference in performance could be partially explained  
482 on the basis of the dogs' preference to gaze at humans in spontaneous situations in contrast to  
483 that observed in wolves (Gácsi et al 2005).

484 Many researchers agree that the human pointing gesture has a referential nature  
485 (Tomasello and Camaioni, 1997), but they disagree (Povinelli et al. 1997) as to whether  
486 animals can also comprehend this aspect of the pointing action. According to Povinelli et al.  
487 (1997) and Herman et al. (1999) supporting evidence for such comprehension can be gained

488 by showing that the subject is able to generalize (from familiar gestures to unfamiliar ones and  
489 to comprehend the familiar gestures in unfamiliar situations), and to recognize the  
490 communicative intention of the signaller. Based on the present studies we can reach some  
491 conclusion as regards the dog's generalization ability but it is difficult to determine the level  
492 of comprehension in terms of the communicative intentions behind the gestures. Children  
493 display a gradually developing capacity to grasp the referential aspect of the gesture (but this  
494 situation is made more complex by their own use of this gesturing). In the case of dogs the  
495 effect of their own utilisation can be excluded (they do not use limbs for pointing), although  
496 some assume that the dog's ability to "body point" (looking with stiff body in the direction of  
497 prey) could facilitate the emergence of such a skill. In any case a relatively simple rule leads to  
498 a flexible comprehension of the pointing gesture in dogs, which clearly suffices in everyday  
499 situations. Thus one might be tempted to refer to "functional referentiality" (Evans, 1997) in  
500 the case of dogs but the use of this terminology does not provide a solution to the problem.

501 Many authors draw a parallel between object choice tasks based on social cueing  
502 (when the place is signalled by a human) and experimental ("foraging") situations when the  
503 correct location of hidden target is indicated by the nearby presence of an object or visual cue  
504 ("beacon") (without the involvement of humans). According to this view both the hand/finger  
505 and the object/visual cue plays the role of a discriminative stimulus which becomes associated  
506 with the location of the hidden reward. However, importantly, there is evidence available that  
507 in choice tasks using visual beacons the distance of the signalling object and the location of  
508 the reward is critical. Neither apes (Jenkins 1943; Murphy and Miller, 1955) nor dogs  
509 (Milgram et al. 1999) are able to rely on beacons that appear further than 10-20 cm from the  
510 hidden target. In contrast in the communicative version of this task, when the "beacon" is the  
511 pointing hand, at least dogs seem to have no problem even if the distance is much greater and  
512 the "beacon" disappears at the time of choice. This difference in performance suggests that the

513 behaviour is under different cognitive control when the search for a target takes place in an  
514 asocial or a social situation. Thus on functional grounds it might be useful to differentiate  
515 social and asocial foraging tasks. Interestingly this might suggest that human children do not  
516 use a simple rule based on discrimination learning because if this were the case even younger  
517 children should have been able to rely on all gestures presented (see Povinelli et al. 1997).  
518 Although we do not want to exclude the role of discrimination learning it seems that this  
519 process works very differently under social and asocial conditions, and on its own does not  
520 explain the observed performance. It does not mean that other types of learning cannot play a  
521 role, e.g. in the case of the human children we have seen that it takes them about three years to  
522 learn how to follow the index finger when it is presented in novel configurations, for which  
523 rule-based learning might provide a possible explanation (Allan, 1993).

524 In summary, the subjects' responsiveness to the variations of the pointing gesture  
525 suggests that both dogs and children (of both ages) have the ability to use rules to generalize  
526 from the pointing gesture to other similar types of gestures. Three-year-olds seem to recognize  
527 the index finger as a general directional signal. In younger children protruding body parts  
528 provide the main cue for deducing directionality. At least at the functional level dogs show a  
529 similar performance as 2 year-olds that can be explained as a joint outcome of their  
530 evolutionary history and their socialization in a human environment.

531

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533

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538           The experiments delineated in this manuscript comply with the current Hungarian  
539 laws.

540

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688

### 689 **Figure legends**

690

691 Figure 1. a. Distal pointing b. Elbow cross-pointing c. Forward cross- pointing, d. Long cross-  
692 pointing

693

694 Figure 2. The comparison of the group performance in Experiment 1 (“Pointing with arm”)  
695 (Mean + SE). Line represents chance level. Different letters label significant differences by  
696 Kruskal-Wallis test among the three experimental groups.

697

698 Figure 3. Dogs’ performance in the Experiment 1 (“Pointing with arm”) (Mean + SE). Dotted  
699 line represents chance level. The asterisks over the bars refer to the significant differences  
700 (One-sample Wilcoxon signed-rank test) from the chance level (\*\*  $p < 0.01$ , \*\*\*  $p < 0.001$ ).

701

702 Figure 4. a. Pointing with leg b. Cross-pointing with leg c. Pointing with knee

703

704 Figure 5. Comparison of group performance in Experiment 2 (“Pointing with leg”) (Mean +  
705 SE). Line represents chance level. Different letters label significant difference by Kruskal-  
706 Wallis test between the three experimental groups.

707

708 Table 1. The experimental procedure for the different groups. In the case of dogs each test  
709 session consisted of 12 trials, which contained 4 trials of momentary distal pointing gesture

710 (control trials) and 8 probe trials, with all three unfamiliar gestures included (3 trials of two  
711 types of the probe trials and 2 trials of the third type of the probe trials in). With each dog we  
712 staged three test sessions. At the end the dogs got 8 trials of each type of the unfamiliar  
713 pointing gestures in both studies. In the case of children in both age-groups we had one test  
714 session consisting of 18 trials with each child, which contained 6 trials of momentary distal  
715 pointing gesture (control trials) and 4-4-4 trials of each probe trial in both studies.

716

717 Table 2. Results of the Kruskal-Wallis tests (with Dunn's post hoc tests,  $p < 0.05$ ) separately  
718 for each gesture.

719

720 Table 3. Results of the One-sample Wilcoxon signed-rank tests (in those cases when the mean  
721 of percentages differed significantly from the 3-year-old children's performance according to  
722 the Kruskal-Wallis test).

723 Figure 1.

724



725

726 1/a.

1/b.

1/c.

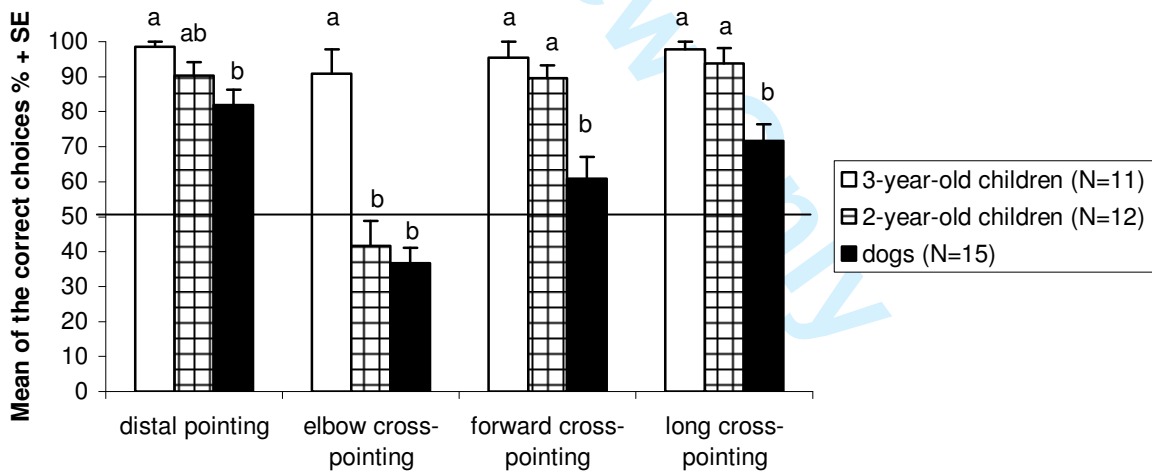


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728 1/d.

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730 Figure 2.

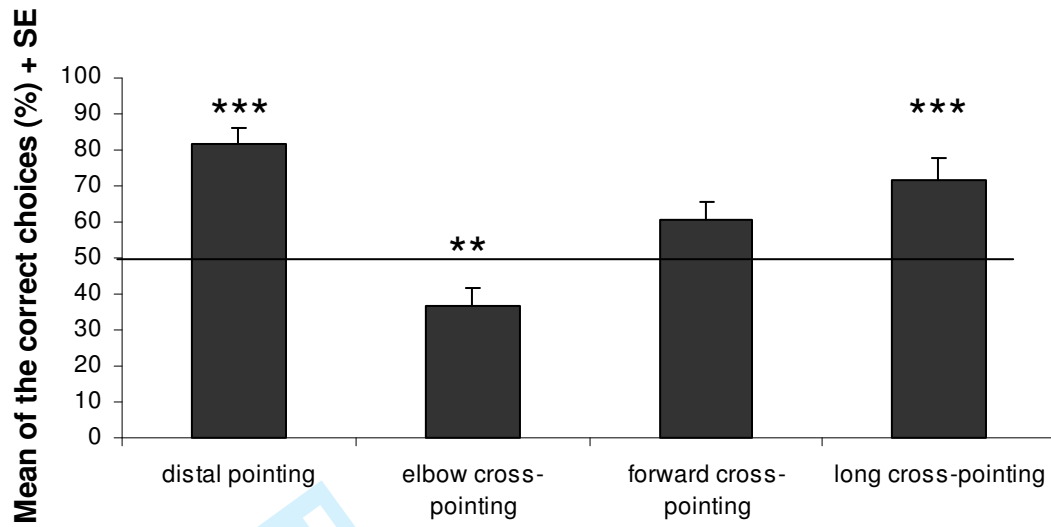


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733 Figure 3.





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735 Figure 4.

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4/b.

4/c.

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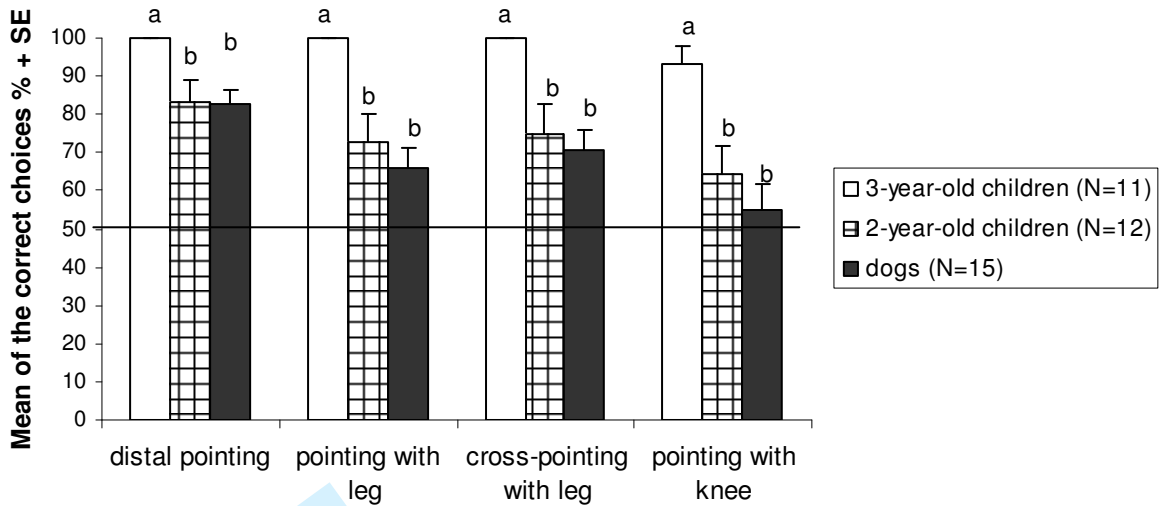
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746 Figure 5.

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For Review Only

753 Table 1. The experimental procedure for the different groups.

	Subjects	
	Dogs	Children (in both age-groups)
<b>1. Pointing with arm test</b>		
1. Session	12 mixed trials: 4 control trials, 3-3-2 probe trials	18 mixed trials: 6 control trials, 4-4-4 probe trials
2. Session	12 mixed trials: 4 control trials, 3-2-3 probe trials	
3. Session	12 mixed trials: 4 control trials, 2-3-3 probe trials	
<b>2. Pointing with leg test</b>		
1. Session	12 mixed trials: 4 control trials, 3-3-2 probe trials	18 mixed trials: 6 control trials, 4-4-4 probe trials
2. Session	12 mixed trials: 4 control trials, 3-2-3 probe trials	
3. Session	12 mixed trials: 4 control trials, 2-3-3 probe trials	

754 Table 2. Results of the Kruskal-Wallis tests (with Dunn's post hoc tests,  $p < 0.05$ ) separately  
 755 for each gesture.

756

Type of the pointing gesture	Kruskal-Wallis test		Dunn's posthoc test ( $p < .05$ )
	$\chi^2$	p	
Distal pointing (Study 1)	13.37	0.01	3 year-old-children > dogs
Elbow cross-pointing	17.87	0.001	3-year-old children > 2-year-old children 3-year-old children > dogs
Forward cross-pointing	18.52	0.001	3 year-old-children > dogs 2-year-old-children > dogs
Long cross-pointing	16.24	0.001	3 year-old-children > dogs 2-year-old-children > dogs
Distal pointing (Study 2)	11.16	0.01	3-year-old children > 2-year-old children 3-year-old children > dogs
Pointing with leg	17.60	0.001	3-year-old children > 2-year-old children 3-year-old children > dogs
Cross-pointing with leg	14.90	0.001	3-year-old children > 2-year-old children 3-year-old children > dogs
Pointing with knee	13.82	0.001	3-year-old children > 2-year-old children 3-year-old children > dogs

757

758 Table 3. Results of the One-sample Wilcoxon signed-rank tests (in those cases when the mean  
 759 of percentages differed significantly from the 3-year-old children's performance according to  
 760 the Kruskal-Wallis test)

761

Type of the pointing gesture	One-sample Wilcoxon signed-rank test			
	2-year-old children		dogs	
	T	p	T	p
Distal pointing (Study 1)			104.0	0.001
Elbow cross-pointing	-32.0	0.30	-61.0	0.01
Forward cross-pointing			50.0	0.15
Long cross-pointing			88.5	0.001
Distal pointing (Study 2)	66.0	0.001	105.0	0.001
Pointing with leg	21.0	0.05	77.5	0.05
Cross-pointing with leg	42.5	0.05	75.5	0.01
Pointing with knee	37.5	0.09	59.0	0.37

762